

AMENDMENT TRANSMITTAL LETTER (Small Entity)					Docket No. GENP:101_US_	
Applicant(s): Richard C. PAYNE						
Application No. 09/893,633	Filing Date 06/27/2001	Examiner Clement B. Graham	Customer No. 24041	Group Art Unit 3692	Confirmation No. 5630	
Invention: COMPUTER BASED SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL OPTION						

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COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☐ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED							
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST # PREV. PAID FOR		NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	23	-	23	=	0	x \$25.00	\$0.00
INDEP. CLAIMS	10	-	11	=	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>							\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT							\$0.00

☒ No additional fee is required for amendment.

☐ Please charge Deposit Account No. _____ in the amount of _____

☐ A check in the amount of _____ to cover the filing fee is enclosed.

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☒ Any additional filing fees required under 37 C.F.R. 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ Payment by credit card. Form PTO-2038 is attached.

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/C. Paul Maliszewski/

Signature

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Dated: **Nov. 13, 2007**

CPM/KRB

cc:

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on Nov. 13, 2007.

(Date)

/C. Paul Maliszewski/

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U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Applicant(s): PAYNE, Richard C.

Filed: 06/27/2001

For: **COMPUTER BASED SYSTEM FOR VALUING AND HEDGING
CUSTOMIZED INDEXED CALL OPTION**

TC/Art Unit: 3692

Examiner: Clement B. GRAHAM

Docket No.: GENP:101_US_

Customer No.: 24041

Certificate of Mailing by First Class Mail

I certify that this communication is being deposited on November 13, 2007 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

/C. Paul Maliszewski/

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AMENDMENT AND REQUEST FOR RECONSIDERATION

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

This Amendment and Request for Reconsideration is in response to the Office Action dated October 18, 2007 regarding the above-identified patent application. A Notice of Appeal has been filed concurrent with this paper.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

A check in the amount of \$255.00 for the required Notice of Appeal fee is attached.

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